

COI-C Glossary

- **Collaborative Investigator** - A co-investigator on sponsored or non-sponsored research, and can be either a UO or non UO faculty member.
- **Conflict of Commitment (COC)** – those overload or outside activities which conflict or appear to conflict with a faculty members University activities of teaching, research, service, and administration.
- **Conflict of Interest (COI)** - A conflict of interest may occur when an employee is in a position to make a decision in his or her capacity as a university employee that may result in personal or family gain, financial or otherwise. Per the Oregon Revised Statutes (ORS 244.020(11)) defines "potential conflict of interest" as follows: "Any action or any decision or recommendation by a person acting in a capacity as a public official, the effect of which would be to the private pecuniary benefit or detriment of the person or a member of the person's household, or a business with which the person or a member of the person's household is associated, unless the pecuniary benefit or detriment arises out of the following: (a) An interest or membership in a particular business, industry, occupation, or other class required by law as a prerequisite to the holding by the person of the office or position. (b) Any action in the person's official capacity which would affect to the same degree a class consisting of all inhabitants of the state, or a smaller class consisting of an industry, occupation, or other group including one of which or in which the person or a member of the person's household or business with which the person is associated, is a member or is engaged.
- **Co-Investigator (Co-PI)** - The Financial COI regulation defines investigator as the principal investigator and any other person who is responsible for the design, conduct, or reporting of funded research, and it includes the investigator's spouse and dependent children (42 CFR § 50.603). The regulation is very broad in its definition of investigator, and faculty are encouraged to consider the roles, rather than the titles, of those involved in research and the degree of independence with which those individuals work.
- **External Entity** – can be any or all of the following: a natural person capable of being legally bound, sole proprietorship, corporation, partnership, limited liability company or partnership, limited partnership, for-profit or nonprofit corporation, unincorporated association, business trust, two or more persons having a joint or common economic interest, or any other person with legal capacity to contract, or a governmental agency or governmental subdivision.
- **Human Subject Research** - Human Subject Research at the UO includes all activities that are "research," and involve, "human participants" according to The Common Rule, and includes all activities that are "research" according to FDA regulations. According to The Common Rule, "research" is a systematic investigation, including clinical investigations, research development, testing and evaluation, designed to develop or contribute to generalizable knowledge and "human participants" are living individuals about whom the investigator conducting research obtains a.) data through intervention or interaction with the individual or b.) identifiable private information (45 CFR Part 46). According to FDA regulations "research" is any experiment that involves a.) "test article," that is any drug or biological product for human use, a medical device for human use, a food additive or color additive intended for human use, an electronic product or any other article subject to regulation by the Food, Drug, and Cosmetic Act; and one or more individuals who are either recipients of the test article or controls; and that either involves a drug or medical device (other than the use of an approved drug or device in the course of medical practice) or the results of the research are intended to be later submitted to, or held for inspection by, the Food and Drug Administration as part of an application for a research or marketing permit.

- **Immediate Family Members** - includes spouses, dependent children, domestic partners, parents, and siblings.
- **Managerial or Leadership Role** - a significant role in the day-to-day operations of and/or strategic planning for an external entity.
- **Management Plans** – are the annually or more frequently updated, detailed plans for resolution/mitigation of a conflict of interest and/or conflict of commitment, upon which the faculty member and/or other UO employee as well as his or her immediate supervisor, Department Head, Director, Dean, Vice Provost and Vice President (as appropriate), have agreed.
- **Materially Changed** - a substantive change in the information disclosed annually. Examples of material changes include but are not limited to: a single consulting job that results in pecuniary benefit equal to or exceeding \$25,000, the offer to take a line management role in an external entity not previously disclosed or approved, ownership of an external entity greater than 5% not previously disclosed or approved.
- **One-day-in-seven Time** - is a privilege granted through [ORS 351.067](#) permitting individuals holding an unclassified appointment to engage in overload and/or outside activities one day in each seven day week that are related to the area of expertise for which the individual was hired. A limited amount of averaging or aggregating of days is permitted so long as it is not to the detriment of an individual's UO obligations and does not involve prohibited compensation. The number of allowed days is prorated for appointments that are less than 1.0 FTE.
- **Outside Activity** - are those activities engaged in by UO faculty and other UO employees, whether or not compensated, that are not specifically a part of their University activities. Such activities include (a) participation in scholarly, professional and philanthropic activities outside UO; (b) service on advisory bodies or public commissions related to the individual's academic or professional work; (c) travel to other institutions or conferences for the purpose of presenting lectures, leading seminars or workshops or visiting the laboratories of colleagues; and (d) limited consulting. See [ORS 351.070](#) for more specific details.
- **Overload Activities** - are additional, (non-stipend) paid services for campus sponsored activities above an individual's salaried compensation. Typical overload activities include: continuing education, extension services, internal consulting, non-emergency paid teaching overloads, and similar services.
- **Ownership Interest** - any interest that provides ownership roles and/or privileges in a not- or for- profit external entity.
- **Pecuniary Benefit** - Financial benefit that includes not only money, but also any benefit measurable in terms of money (e.g. gifts in kind, travel, etc.).
- **Principal Investigator (PI)** - The Financial Conflict of Interest regulation (42 CFR § 50.603) defines investigator as the principal investigator and any other person who is responsible for the design, conduct, or reporting of funded research. This definition, as it relates to financial interest, also includes the investigator's spouse and dependent children. The regulation is very broad in its definition of investigator, and we therefore encourage you to consider the roles, rather than the titles, of those involved in research and the degree of independence with which those individuals work.
- **Professional Organizations** - are those scholarly and professional entities which promote knowledge in one's area of expertise.

- **Significant Financial or Fiduciary Interest** - The federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value.
- **Significant Ownership Interest** - Refers to the right by which a thing (whether tangible or intangible) belongs to someone in particular, to the exclusion of all others. Ownership can be shared, as in co-ownership. **Significant** ownership, per UO policy, refers to ownership in the amount of 5% or greater.
- **Significant Relationship** - Any relationship with an external entity, be it economic or otherwise, as owner, investor, director, trustee, partner, employee, or consultant, or any other relationship in which an individual has control or input into the direction of business practices or research.
- **Sponsor Funded Activity** - any activity managed by the University where funding comes from an outside source (e.g. federal grant, private donation, etc.).
- **University Activities** - those teaching, research, administration and service activities that are a part of one's UO employment obligations.